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### Section 3. Applications

## Nd-doped phosphate glasses for high-energy/high-peak-power lasers

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### Abstract

The composition and properties of neodymium-doped (Nd-doped) phosphate glasses used for simultaneous high-energy ( $10^3$ – $10^6$  J) and high-peak-power ( $10^{12}$ – $10^{15}$  W) laser applications such as fusion energy research, are reviewed. The most common base glasses are meta-phosphates (O/P  $\sim$ 3) with the approximate composition:  $60\text{P}_2\text{O}_5$ – $10\text{Al}_2\text{O}_3$ – $30\text{M}_2\text{O}/\text{MO}$ ; K/Ba or K/Mg are typical modifiers. The spectroscopy of  $\text{Nd}^{3+}$  in these glasses is well understood and laser properties can be accurately determined from measured spectroscopic properties. The major mechanisms for  $\text{Nd}^{3+}$  non-radiative relaxation are reviewed and empirical expressions are presented that predict these effects in phosphate glasses. Optical and thermal–mechanical properties have been measured on a number of laser glasses and can be correlated with composition. Sub-critical crack growth rates in stress regions I, II and III have been reported for the first time in phosphate laser glasses. The mechanism for Pt inclusion formation and dissolution has been studied leading to damage resistant (Pt-inclusion-free) laser glasses. © 2000 Elsevier Science B.V. All rights reserved.

### 1. Introduction

This review covers recent advances in phosphate laser glasses used for simultaneous high-energy and high-peak-power laser applications such as the multi-kilojoule, multi-terrawatt lasers used for fusion energy research [1–6]. Two new laser systems with output energies of nearly 2 MJ and peak-powers in excess of 500 TW are currently under construction [1,2]; both lasers will use neodymium-doped (Nd-doped) phosphate glasses [7,8]. This paper deals only with Nd-doped phosphate glasses as they represent the most common and largest volume of laser glass produced today [8].

Unfortunately, space prohibits us from reviewing the many developments of phosphate laser glasses using other rare-earth dopants or those used for fiber optics and wave-guided structures; the reader is referred to recent reviews and articles by others in this field (see for example [9–18]). Similarly, in the past there have been several excellent reviews of earlier work on both phosphate and non-phosphate laser glasses (silicates, borates, fluorides, fluorophosphates, aluminates, germanates, chalcogenides and sulfates) [16,19–29] and as a consequence that material is not covered here.

The manuscript is organized in sections dealing with key properties of laser glasses; the main exception is the brief review in Section 2 of the compositional space that has been studied during the development of Nd:phosphate laser glasses. Where possible the results are presented in a format that should be useful to both glass researchers and laser engineers for estimating key performance

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properties of laser glasses. In addition we attempt to show why the laser glasses in wide use on multi-kilojoule/multi-terrawatt lasers have evolved to a specific set of metaphosphate compositions.

## 2. Phosphate laser glass composition development

Fig. 1 is a ternary composition diagram for the  $P_2O_5$ –( $Al_2O_3$ ,  $RE_2O_3$ )–( $MO$ ,  $M_2O$ ) system showing the range of laser glasses that have been studied as well as the specific compositions of several commercial laser glasses produced today. Note that most of the commercial glasses lie near the line representing the metaphosphate join and have the approximate molar composition  $60P_2O_5$ – $10Al_2O_3$ – $30M_2O/MO$ . Nd is added to this base composition at concentrations of about 0.2 mol% ( $\sim 5 \times 10^{19}$  ions/cm<sup>3</sup>) for laser rods and up to 2 mol% ( $\sim 5 \times 10^{20}$  ions/cm<sup>3</sup>) for disks and plates [8,30,31].

The compositions of the three most widely used commercial metaphosphate laser glasses, LG-750, LHG-8 and LG-770, have recently been reported for the first time [7]: LHG-8: (56–60) $P_2O_5$ –(8–12) $Al_2O_3$ –(13–17) $K_2O$ –(10–15) $BaO$ –(0–2) $Nd_2O_3$ , LG-750: (55–60) $P_2O_5$ –(8–12) $Al_2O_3$ –(13–17) $K_2O$ –(10–15) $BaO$ –(0–2) $Nd_2O_3$  and LG-770: (58–62) $P_2O_5$ –(6–10) $Al_2O_3$ –(20–25) $K_2O$ –(5–10) $MgO$ –(0–2) $Nd_2O_3$ . The compositions are reported as ranges to account for variability due to doping and melting methods and protect certain proprietary aspects of the compositions [7]. Nevertheless, as discussed later in this manuscript, the laser, optical and physical properties of these three glasses are quite similar ( $\pm 10\%$ ) suggesting that over these minor ranges in composition (and modifiers) the laser glass properties are largely unchanged. The reasons these specific modifiers and compositions make good laser glasses is discussed in several of the later sections.

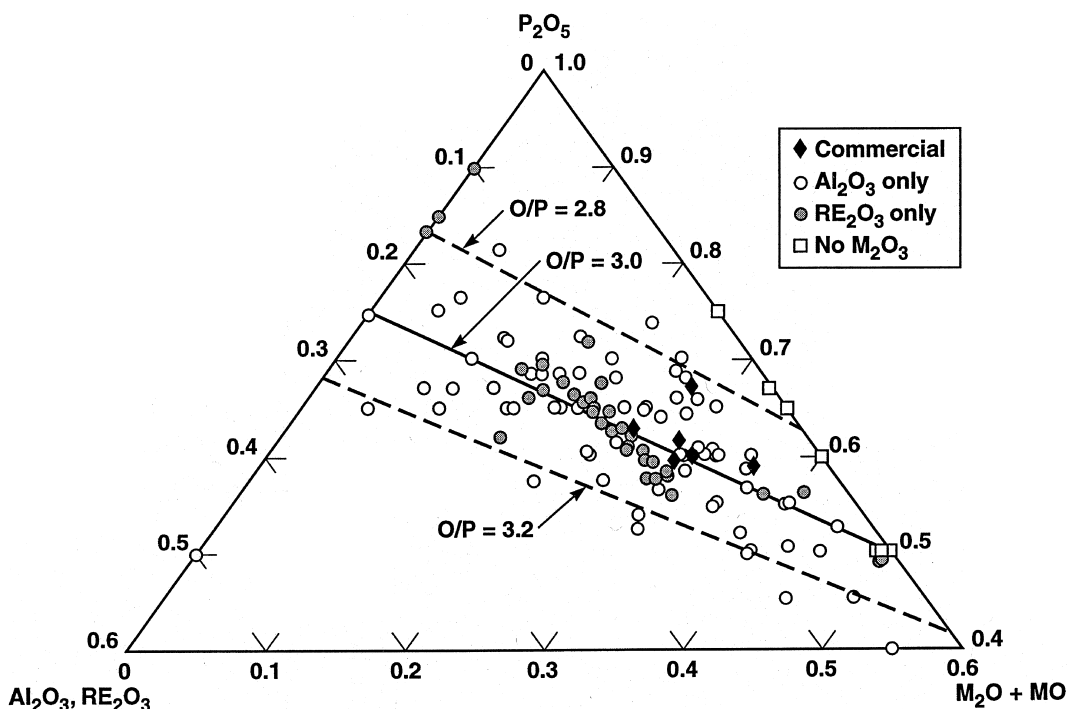


Fig. 1.  $P_2O_5$ – $Al_2O_3$ ,  $RE_2O_3$ – $MO/M_2O$  composition diagram showing both research and commercial laser glass compositions. The sources for the research samples are given in Table 1; the compositions for the commercial glasses are from chemical analyses by the authors.

Table 1

Systematic composition studies of Nd phosphate laser glasses

Composition space	Fixed components	Varied components	Source
$P_2O_5-Al_2O_3-M_xO$	–	P, Al, Li, Na, K, Mg, Ca, Sr, Ba, Zn, Pb	[32]
$P_2O_5-Al_2O_3-M_xO$	Al	P, Na, Li, K, Cs, Mg, Ca, Ba	[34,35]
$P_2O_5-Al_2O_3-M_2O-MO$	Al	P, Na, Li, K, Ba	[36]
$P_2O_5-Al_2O_3-M_2O-MO$	–	P, Al, Li, Na, Ba, Ca	[37]
$P_2O_5-B_2O_3-M_2O-MO$	–	P, B, K, Na, Li, Ba, Sr, La	[38]
$P_2O_5-Al_2O_3-SiO_2$	–	P, Al, Si	[32]
$P_2O_5-Nd_2O_3-SiO_2$	–	P, Nd, Si	[32]
$P_2O_5-SiO_2-Li_2O-Al_2O_3$	–	P, Si, Li, Al	[32]
$P_2O_5-Al_2O_3-M_2O-MO$	P, Al	Li, K, Na, Mg, Ca, Ba	[39]
$P_2O_5-Al_2O_3-Na_2O-MO$	P, Al	Na, Mg, Ca, Ba	[40]
$P_2O_5-Al_2O_3-Ln_2O_3-M_2O$	–	Li, K, Nd, La, P, Al	[41]
$P_2O_5-Al_2O_3-Na_2O-MO$	P, Na	Al, Mg, Ca, Ba	[42]
$P_2O_5-Al_2O_3-MO-M_2O$	–	Be, Mg, Ca, Sr, Ba, Li, Na, K, Rb	[43]
$P_2O_5-Al_2O_3-M_2O$	P	Li, Na, K, Rb, Cs	[44]
$P_2O_5-Al_2O_3-SiO_2-K_2O$	P, K	Si, Al	[44]
$P_2O_5-Al_2O_3-M_2O-MO$	–	Al, Be, Mg, Ca, Sr, Ba, Cd, Li, Na, K, Rb	[20,23]
$P_2O_5-SrO-K_2O$	Sr	P, K	[45]
$P_2O_5-K_2O-B_2O_3-MO$	K, Ln	Sr, Ba, B, P	[45]
$P_2O_5-K_2O-Ln_2O_3-Y_2O_3$	K, Ln, Y	P	[45]

A number of systematic composition studies of phosphate laser glasses have been carried out. Unfortunately, these cannot all be represented in the single ternary diagram shown in Fig. 1. Instead we summarize many of these in Table 1. One of the most important systematic composition investigations is that reported by Toratani [32]. He examined not only laser properties but other key glass properties for the composition series  $P_2O_5-Al_2O_3-(M_2O, MO)$  with  $M = Li, Na, K, Mg, Ca, Sr, Ba, Zn$  and  $Pb$ . In addition, his compositions span the ultraphosphate to polyphosphate composition region. Also included in his work is an exploration of the  $P_2O_5-SiO_2-Al_2O_3$ ,  $P_2O_5-SiO_2-Nd_2O_3$  and  $P_2O_5-SiO_2-Al_2O_3-Li_2O$  glass forming systems. The latter system yielded a lithium silicophosphate glass with acceptable laser properties and much improved thermal shock resistance that was a forerunner of commercial glasses for high-average-power (HAP) applications [33].

Many composition studies [20,23,32,43–45], correlate variations in glass properties with modifier additions using the cation electric field-strength parameter [46]. Hayden et al. [39] extended this to include a compositionally averaged field strength that summed the contributions of the individual modifiers into one ‘effective’ field strength. They [39] were able to successfully cor-

relate laser, optical, thermal and mechanical properties with the effective field strength parameter for a range of modifiers.

Although there is generally a variation in the  $M^+$  or  $M^{2+}$  modifiers used in laser glasses, the  $M^{3+}$  modifier is nearly always  $Al^{3+}$ . Thus the role of  $Al^{3+}$  in metaphosphate laser glasses has been a subject of much interest. This interest is because  $Al^{3+}$  not only affects thermal-mechanical properties but also laser properties [20,32,47]. The most authoritative study of effects of  $Al^{3+}$  in phosphate glass structures is the work of Brow et al. [48–50]. They showed that physical property changes observed with addition of  $Al_2O_3$  are predominantly due to cross-linking of the long metaphosphate chains by  $Al^{3+}$  in octahedrally coordinated sites ( $Al(OP)_6$ ) [49,50]. This cross-linking is in contrast to the earlier-held view that the Al formed a three-dimensional network via the weaker tetrahedral  $AlPO_4$  units. Also,  $Al^{3+}$  in the octahedral symmetry is the only form observed for  $\leq 12.5$  mol%  $Al_2O_3$  additive; at larger concentrations 4- and 5-fold coordinated Al are detected [50]. It is interesting that the optimum  $Al_2O_3$  composition used in most commercial laser glasses is in the same  $\leq 12.5$  mol% range (see Fig. 1).

Raman [32,51–54] and NMR [55–60] spectroscopy continue to be used to investigate phosphate

glass structures and many of these studies span the compositional region of interest for laser glasses (specifically, metaphosphate glasses). Unambiguous assignments have been made for many Raman bands such that these bands can now be used as a routine tool for structural investigations [54,61]. In one of the few Raman spectroscopy studies of just laser glasses, Toratani [32] and Izumatani et al. [62] reported spectra for each of the glasses in a systematic study of the  $\text{P}_2\text{O}_5\text{--Al}_2\text{O}_3\text{--MO}(\text{M}_2\text{O})$  composition space. Toratani used the data primarily to relate measured  $\text{Nd}^{3+}$  multi-phonon decay rates (from the  $^4\text{F}_{3/2}$  level) to the most energetic phonon modes observed in a specific glass. Little structural interpretation has been given to his data although the Raman spectra show variations in band structure with changes in glass composition. Similarly, a number of glass structure studies using  $^{31}\text{P}$  in combination with  $^{27}\text{Al}$ ,  $^{29}\text{Si}$ ,  $^{11}\text{B}$ ,  $^7\text{Li}$  and  $^{23}\text{Na}$  MAS NMR have been reported for phosphate glasses in general and metaphosphates in particular. Kirkpatrick and Brow have recently reviewed this work [60].

### 3. Laser properties derived from Nd spectroscopic measurements

The laser properties of Nd in a glass host are determined by the  $\text{Nd}^{3+}$  spectroscopic properties. In this section, we review recent research on Nd-spectroscopy in phosphate glasses and its relation to laser performance.

The electronic spectra of rare earths in crystalline and glass matrices has been the subject of investigation for more than 40 years. The excellent text by Powell [63] is perhaps the most recent authoritative account of the spectroscopy of transition metal and rare-earth lanthanide ions in crystalline and amorphous matrices; the reader is referred to this text and the references cited therein for further details.

The neutral Nd atom has the outer electronic configuration  $4f^4 6s^2$  which, when incorporated in the glass gives  $\text{Nd}^{3+}$  with the ground electronic configuration  $4f^3$ . The energy levels associated with the  $\text{Nd}^{3+} 4f^3$  configuration are determined by the well-known, multi-electron atom Hamiltonian [63,64] (Fig. 2):

$$H = H_{\text{H-like}} + H_{\text{coul}} + H_{\text{SO}} + H_{\text{CF}} \quad (1)$$

$$= \left( \frac{-h^2}{2m} \sum_i^n \nabla_i^2 - Z_{\text{eff}} \sum_i^n \frac{e^2}{r_i} \right) + \sum_{i < j}^n \frac{e^2}{r_{ij}} + \lambda L \cdot S + \sum_i^n e V_{C_i}(r, \theta, \phi). \quad (2)$$

The terms inside the parentheses are sums of the individual (discrete) hydrogen-like kinetic and potential energy contributions summed over all  $n$  electrons of charge,  $e$  and mass,  $m$ . The electrons lie at distances,  $r_i$ , from the shielded nucleus that has an effective nuclear charge,  $eZ_{\text{eff}}$ . The next term,  $H_{\text{coul}}$ , accounts for the pair-wise electron coulombic repulsions where the restriction on  $i$  prevents double counting and  $r_{ij}$  is the pair-wise interelectronic separation. The third term,  $H_{\text{SO}}$ , describes the electron-spin and orbital-angular momentum interactions, where  $\lambda$  is the multi-electron spin-orbit coupling constant. Finally, the last term,  $H_{\text{CF}}$ , describes the electron perturbations,  $V_{C_i}$ , caused by the surrounding crystal field, where  $V_{C_i}$  is dependent on all three spatial coordinates ( $r, \theta, \phi$ ). In general for  $\text{Nd}^{3+}$  in glass the magnitude of the various interactions described by the Hamiltonian in Eq. (1) are [63]

$$|H_{\text{H-like}}| \gg H_{\text{coul}} \gtrsim H_{\text{SO}} > H_{\text{CF}}. \quad (3)$$

The crystal field interaction ( $H_{\text{CF}}$ ) is quite small because the rare earth  $4f$  orbitals well shielded by the overlying  $5s^2$  and  $5p^6$  shells and therefore the states arising from the  $4f^n$  configurations are only mildly affected by their surroundings [63,65]. The magnitude of the crystal field splitting for rare earths in glasses and crystals is of order  $100 \text{ cm}^{-1}$  (Fig. 2) and thus approximates  $kT$  at ambient temperatures ( $200 \text{ cm}^{-1}$ ). In addition, in most glasses, including phosphates, the Nd ions reside in sites of low symmetry ( $C_1$ ) with each site having a slightly different crystal field interaction [63]. Therefore the Stark splittings associated with the crystal field perturbations cannot be individually resolved. This lack of resolution is represented in Fig. 2 by using broad, shaded bands to represent the site-to-site variation in the crystal field Stark splitting.

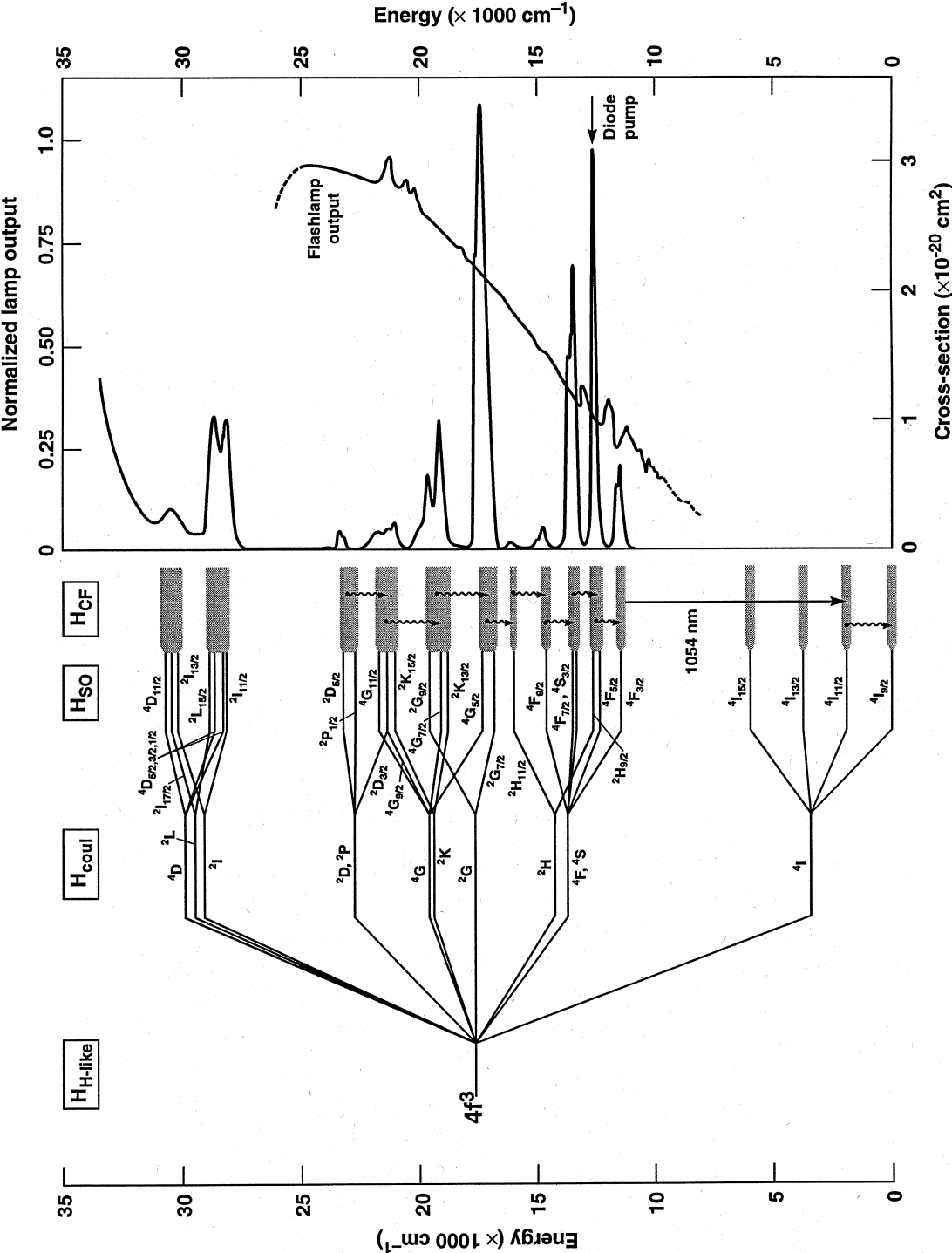


Fig. 2. Energy levels for  $\text{Nd}^{3+}$  evolving from the  $4f^3$  configuration showing the coulombic ( $H_{\text{coul}}$ ), spin-orbit coupling ( $H_{\text{SO}}$ ) and crystal field ( $H_{\text{CF}}$ ) interactions. Also shown is the measured Nd-absorption cross-section in a typical metaphosphate glass host [7] and the relative output intensity for a xenon flashlamp and laser diode pump source. The laser transition of interest (1053 nm) is from the metastable  $^4F_{3/2}$  state to the  $^4I_{11/2}$  terminal level. The wavy lines denote rapid non-radiative (multi-phonon) transitions. The energies shown are relative to the  $^4I_{9/2}$  ground state.

The single-electron spin–orbit coupling constant is large for Nd, being a value of  $884.6\text{ cm}^{-1}$  [64]. This large coupling constant is important for the laser performance of  $\text{Nd}^{3+}$  in that the J-state immediately above the ground state remains virtually unpopulated at ambient temperatures. The energy gap between the  $^4\text{F}_{3/2}$  state and the terminal  $^4\text{I}$  states, combined with the thermal isolation of the terminal laser levels from the ground state (see Fig. 2), gives Nd-doped materials their four-level lasing properties [66].

Fusion laser systems use the broadband output from a flashlamp to pump the large-aperture, Nd-doped glass laser amplifiers [67,68]. The typical spectral overlap of the output from a xenon flashlamp with the  $\text{Nd}^{3+}$  absorption (i.e. ‘pump’) bands is shown in Fig. 2 [30]. Beach et al. [69] have recently developed a unique diode-pumped glass rod amplifier for use in the smaller preamplifier systems of a fusion laser system. This amplifier uses a diode-bar array operating at  $808\text{ nm}$  ( $12\,400\text{ cm}^{-1}$ ) and a unique lens duct to transport the diode output to the glass rod; the diode pumps the  $^4\text{I}_{9/2}$  to  $^4\text{F}_{5/2}, ^2\text{H}_{9/2}$  transition (see Fig. 2). This diode pumped glass amplifier has been demonstrated using rods,  $5\text{ mm dia.} \times 50\text{ mm long}$ , of phosphate glass-doped with  $4 \times 10^{19}\text{ Nd ions/cm}^3$  [70].

The states above the  $^4\text{F}_{3/2}$  level are split by energies of order  $1000\text{ cm}^{-1}$  (Fig. 2). These energies are similar to the phonon energies of the glass matrix ( $\sim 1100\text{--}1200\text{ cm}^{-1}$  for phosphates [32,71]) and therefore electrons excited to these levels relax by non-radiative multi-phonon processes. As a result, the electrons excited to these upper bands cascade down to the  $^4\text{F}_{3/2}$  state where they become ‘bottle-necked’. This bottle-neck is a result of the large energy gap ( $\sim 5500\text{ cm}^{-1}$ ) between the  $^4\text{F}_{3/2}$  state and the lower-lying  $^4\text{I}$  manifold (Fig. 2). The phonon coupling for this magnitude energy gap is poor and therefore radiative relaxation dominates [71]. Radiative transitions can occur to all the lower lying  $^4\text{I}_J$  levels but the transition of greatest interest is the one to the  $^4\text{I}_{11/2}$  level; this laser transition produces output radiation near  $1053\text{ nm}$  in most phosphate glasses [23,30,66].

The electrons terminating in the  $^4\text{I}_{11/2}$  state undergo non-radiative, multi-phonon decay to the ground state. This decay process must be fast

compared to the pulse length of the laser for  $\text{Nd}^{3+}$  to operate as a four-level system. Bibeau et al. [72–74] have recently determined the decay rates from the  $^4\text{I}_{11/2}$  to the  $^4\text{I}_{9/2}$  ground state in several Nd-doped laser glasses using different measurement techniques. They report lifetimes between 250 and 450 ps for three commercial phosphate laser glasses (LG-750, APG-1 and APG-2). These decay times are such that they can affect laser performance for pulse-lengths less than 1 ns; Bibeau et al. [74] present a model that can be used to predict these performance effects. The megajoule lasers used for fusion energy research will operate at nominal pulse-lengths between 1 and 20 ns [1] and thus the performance should not be affected by the  $\text{Nd}^{3+}$  terminal level lifetime.

Payne and Bibeau [75] have extended this work to include measurements of the non-radiative decay rate from the  $^4\text{G}_{7/2}$  to ( $^4\text{G}_{5/2}, ^2\text{G}_{7/2}$ ) state. These measurements were carried out on the same set of glasses (and some crystals) as used in the previous study [72]. The reason for studying this particular transition is that the energy gap is similar to that between the  $^4\text{I}_{11/2}$  to  $^4\text{I}_{9/2}$  levels. In addition, the decay rate is more amenable to direct measurement. The measured rate of decay from the  $^4\text{G}_{7/2}$  state correlates to within about 15% with that measured for the  $^4\text{I}_{11/2}$  state.

Payne and Bibeau [75] analyze their data using the Förster [76] and Dexter [77] theory for dipole–dipole energy transfer, treating the Nd ions as donors and the phonons as energy acceptors. From this theory they independently derive a form of the well-known energy gap law in which they separate the information regarding the host and rare earth ( $\text{Nd}^{3+}$ ) into the exponential and pre-exponential factors, respectively.

To predict the performance of a laser amplifier containing a given Nd-doped glass medium requires knowledge of the  $\text{Nd}^{3+}$  laser properties for the particular glass (e.g., emission cross-section, radiative lifetime, etc.). In a classic paper, Krupke [78] showed how these laser properties can be accurately estimated for a given glass from measured  $\text{Nd}^{3+}$  absorption and emission spectra. Krupke’s method, based on the Judd–Ofelt (J–O) treatment [79,80] of spectral intensities for trivalent rare earth ions, provided glass chemists with a means of

assessing key laser properties using straightforward spectroscopic measurements on glass samples from small-scale test melts. As a result, a large number of glass composition studies followed (e.g. [23,25,27,28,32,39,81]) and continue today [35,45,82–84]. The number of phosphate glass compositions studied in this fashion probably exceeds a thousand; in our laboratory alone we have evaluated several hundred individual phosphate glasses.

In the J–O treatment, the line strength,  $S$ , of a transition between the initial ( $J$ ) and terminal ( $J'$ ) states is given by

$$S(aJ : bJ') = \sum_{t=2,4,6} \Omega_t |\langle aJ | U^{(t)} | bJ' \rangle|^2, \quad (4)$$

where  $a$  and  $b$  denote  $S$ ,  $L$  and other quantum numbers that completely specify the state. The individual matrix elements  $|\langle aJ | U^{(t)} | bJ' \rangle|$  between the various  $J$ -states of the Nd  $4f^3$  configuration have been calculated and are tabulated in readily available sources [23,63,78]. The J–O intensity coefficients ( $\Omega_2$ ,  $\Omega_4$  and  $\Omega_6$ ) are phenomenological parameters determined by a least-squares fit to the integrated absorption band strengths measured in the 400–950 nm (25 000–10 500  $\text{cm}^{-1}$ ) region [23]. The bands that are usually included in this calculation are shown in Fig. 2.

Once the J–O parameters are determined, it is straightforward to calculate the all-important emission cross-section at the peak wavelength from the relationship

$$\sigma(\lambda_p) = \frac{8\pi^3 e^2}{27hc(2J+1)} \left( \frac{\lambda_p}{\Delta\lambda_{\text{eff}}} \right) \frac{(n^2+2)^2}{n} S(aJ : bJ'), \quad (5)$$

where  $n$  is the refractive index at  $\lambda_p$ , the peak fluorescence wave wavelength,  $\Delta\lambda_{\text{eff}}$  the effective bandwidth and the other constants have their usual meaning. The effective linewidth is determined from the measured fluorescence intensity ( $I_f(\lambda)$ ) for the associated fluorescence transition

$$\Delta\lambda_{\text{eff}} = \int_{\text{band}} \frac{I_f(\lambda) d\lambda}{I_f(\lambda_p)}. \quad (6)$$

The cross-section determined by the J–O treatment is accurate to within  $\pm 10\%$  provided the Nd-dop-

ing density has been accurately measured ( $\leq \pm 1\%$ ).

The J–O method can also be used to calculate the radiative decay rate,  $k_r$  and the branching ratio,  $\beta_{J'}$ . The radiative decay rate is the sum of the individual radiative decay rates from the  ${}^4F_{3/2}$  state to the  ${}^4I$  terminal  $J'$  states (see Fig. 2) and the branching ratio is the fraction of radiative transitions that terminate at a particular  $J'$  state. The branching ratio depends on the ratio of the J–O intensity parameters,  $\Omega_4/\Omega_6$  [23,63,85]. In general,  $\Omega_4/\Omega_6$  is near-unity for most commonly used phosphate laser glass compositions [20,23,32] and therefore the branching ratios for the various  $\text{Nd}^{3+}$  fluorescence transitions are nearly the same. To be more specific, in meta-phosphate laser glass compositions approximately 50% of transitions terminate at the  ${}^4I_{11/2}$  state, 40% at the  ${}^4I_{9/2}$  state and 10% at  ${}^4I_{13/2}$ ; typically less than 0.5% radiate to the  ${}^4I_{15/2}$  state [20,23,86].

The emission cross-section (at the peak-emission wavelength),  $\sigma_{\text{em}}$ , is related to the other key spectroscopic properties through the expression

$$\sigma_{\text{em}} = \beta k_r \lambda_p^4 / (8\pi c n^2 \Delta\lambda_{\text{eff}}), \quad (7)$$

where  $\beta$  is the branching ratio,  $k_r$ , the radiative decay rate and the other terms have been defined previously. Tabulations of cross-sections, branching ratios and radiative decay rates have been published for a number of Nd-doped phosphate glass compositions as well as many other non-phosphate glass compositions (see, for example Ref. [23]).

Caird et al. [87] have determined the radiative lifetime and cross-section by direct measurement of the quantum yield. The quantum yield ( $\varepsilon$ ) is the ratio of the measured fluorescence lifetime ( $\tau_{\text{meas}}$ ) to the radiative lifetime ( $\tau_r$ )

$$\varepsilon = \tau_{\text{meas}} / \tau_r, \quad (8)$$

and represents the fraction of ions in the meta-stable state that can potentially produce emitted photons. Payne et al. [47] have also used this method to measure quantum efficiency for other phosphate laser glasses. The results show that the radiative lifetime determined by the J–O treatment and from the quantum yield method agree to

within about  $\pm 10\%$  for the phosphate glasses they have measured. This number is in agreement with Krupke's original assessment of the accuracy for the J–O method for glasses [78].

Based on the combination of composition studies and laser property measurements that have been carried out to date, it is now possible to generalize about which metaphosphate glass compositions make the best glasses for high-energy laser applications. Results from the work by Toratani [32] on the system  $\text{MO}–\text{M}_2\text{O}–\text{Al}_2\text{O}_3–\text{P}_2\text{O}_5$  perhaps best illustrate which compositions give the best laser performance (Fig. 3).

It is generally desirable that the laser glass used in multi-kilojoule laser system has a large emission cross-section, long fluorescence lifetime and narrow emission bandwidth [8,20,30,66,88]. The data show that  $\text{K}_2\text{O}$  is perhaps the best modifier and the  $\text{Al}_2\text{O}_3$  content should be minimized because of its adverse effect on the cross-section. Furthermore, the laser properties also tend toward an optimum in the meta-phosphate to slightly ultra-phosphate composition region (60–65 mol%  $\text{P}_2\text{O}_5$ ). Indeed, commercial laser glasses generally have compositions (see Section 2) close to that inferred from Toratani's data (Fig. 3).

Note that some  $\text{Al}_2\text{O}_3$  must be added to the glass to improve its physical properties but in general the tendency is to decrease  $\text{Al}_2\text{O}_3$  to as

small as possible. Similarly, using  $\text{K}_2\text{O}$  as the only modifier is not practical, so each manufacturer has added a group II modifier:  $\text{BaO}$  in the case of LHG-8 and  $\text{MgO}$  for LG-770.  $\text{MgO}$  was chosen because it gives a smaller non-linear index [39] which improves the laser glass performance at larger intensities (see Section 6.1). Similarly,  $\text{BaO}$  is used because it improves physical properties (over  $\text{K}_2\text{O}$  alone) with little degradation of laser properties [32,39]. The output energies and peak-powers obtained using either LHG-8 or LG-770 are essentially identical [8] and both glasses have been successfully melted on a large-scale [7]. Other commercial phosphate glasses are near-metaphosphates (see Fig. 1) and are in the same composition region.

#### 4. Non-radiative energy losses

Non-radiative energy losses from the  $^4\text{F}_{3/2}$  state can reduce the stored energy and thereby affect the laser gain and overall system performance [20,63,66]. Non-radiative losses in phosphate laser glasses have been studied to such an extent that it is now possible to estimate the magnitude of most loss processes.

The rate of energy transfer,  $k_{\text{total}}$ , from the  $^4\text{F}_{3/2}$  level is given by

$$k_{\text{total}} = k_r + k_{\text{nr}}, \quad (9)$$

where  $k_r$  is the radiative relaxation rate and  $k_{\text{nr}}$  the non-radiative rate. Experimentally the rate of energy transfer ( $k_{\text{total}}$ ) is determined by measuring the fluorescence decay of the sample after it has been excited using a short-pulse pump source [23,47]. The measured decay rate can generally be fit using an exponential decay with characteristic time constant,  $\tau_{\text{meas}}$ ; thus in Eq. (9)  $k_{\text{total}} = \tau_{\text{meas}}^{-1}$  and

$$k_{\text{nr}} = \left( \frac{1}{\tau_{\text{meas}}} - \frac{1}{\tau_r} \right), \quad (10)$$

where the radiative decay rate,  $k_r$ , is given by  $\tau_r^{-1}$  and is independently determined using the J–O treatment (see Eq. (7)).

The non-radiative decay rate is a sum of contributions from all non-radiative processes [63]

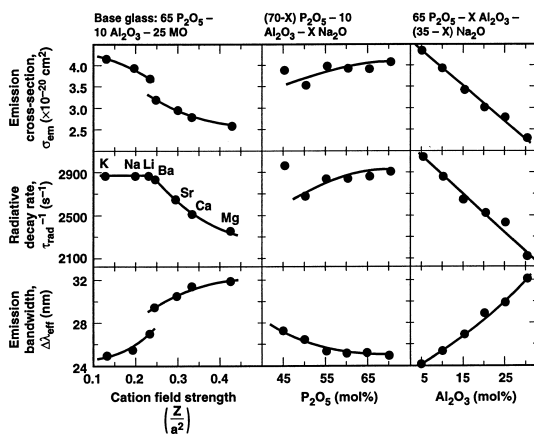


Fig. 3. Radiative decay rate, emission bandwidth and emission cross-section vs. compositional parameters derived from data reported by Toratani [32] for the ternary glass systems shown.



$$k_{nr} = k_{mp} + k_{aug} + k_{Nd} + k_{OH} + \sum_{i=1}^n k_{TMi} + \sum_{j=1}^m k_{REj}. \quad (11)$$

The first three terms refer to loss by multi-phonon relaxation ( $k_{mp}$ ), Nd self-quenching ( $k_{Nd}$ ) and Auger up-conversion ( $k_{aug}$ ). All three of these terms are intrinsic losses that depend on the glass structure and composition (Nd-doping level). The last three terms represent non-radiative losses due to impurities that enter during glass processing, specifically: hydroxyl groups ( $k_{OH}$ ), transition metal ions ( $k_{TM}$ ) and rare earth ions ( $k_{RE}$ ) (e.g. [20,45,47,89,90]). Since there is the possibility of several transition metal or rare-earth impurities then the losses are given as a sum of the individual ion contributions [90]. Fig. 4 schematically illustrates each of the non-radiative loss processes.

Experimentally it is relatively straightforward to determine the contributions due to the last four terms in Eq. (11). This measurement is done by first preparing a ‘reference’ glass and then doping it with different concentrations of either Nd, OH or impurities (e.g. [89,90]). The increased decay rate due to the additive is then

$$k_{additive} = \tau_w^{-1} - \tau_{w/o}^{-1}, \quad (12)$$

where  $\tau_w$  and  $\tau_{w/o}$  are the measured fluorescence decay times with and without the additive, respectively.

#### 4.1. Multi-phonon relaxation ( $k_{mp}$ )

The rate of multi-phonon relaxation between two states can be described using an energy-gap law [20,71,72,75,91]

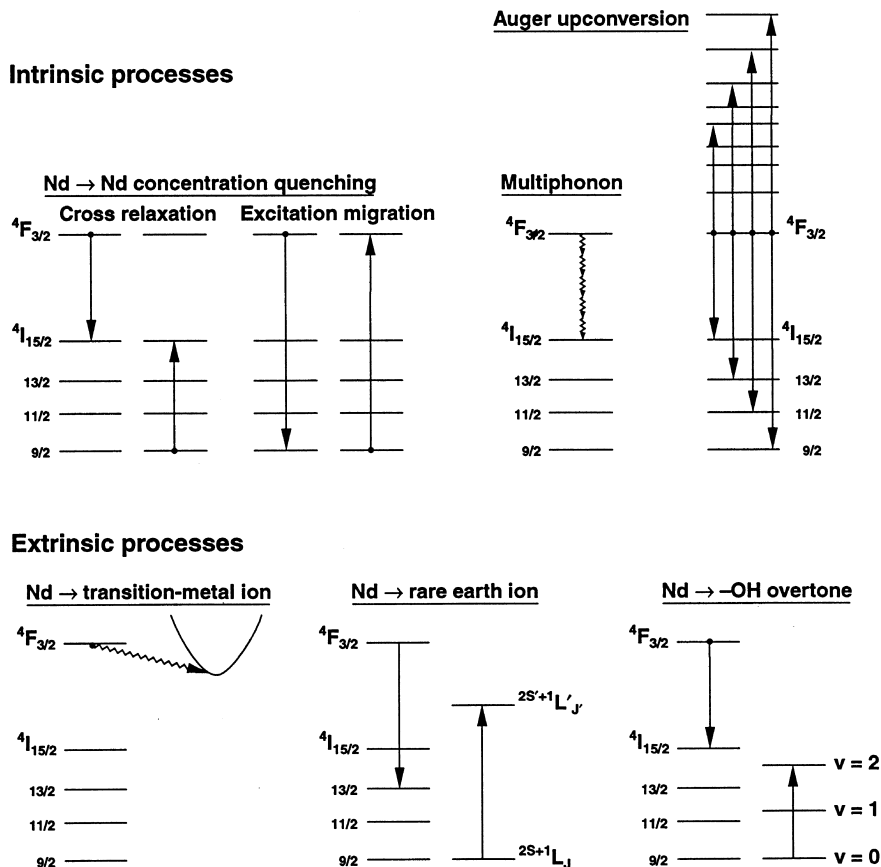


Fig. 4. Non-radiative Nd<sup>3+</sup> relaxation processes in phosphate laser glasses.

$$k_{\text{mp}} = A \exp(-\alpha \Delta E), \quad (13)$$

where  $\Delta E$  is the energy difference between the two states ( $\text{cm}^{-1}$ ) and  $A$  and  $\alpha$  are empirically derived constants. Layne et al. [71] report  $A$  and  $\alpha$  of  $4 \times 10^{12}$  Hz and  $4.61 \times 10^{-3}$  cm, respectively, for a  $67\text{P}_2\text{O}_5\text{--}15\text{Na}_2\text{O--}18\text{BaO}$  phosphate glass. The measurements were made for excited states that were separated by about  $2500\text{--}3900$   $\text{cm}^{-1}$ . Recently Bibeau et al. [75] have measured multi-phonon decay rates from the  $^4\text{G}_{7/2}$  and  $^4\text{I}_{11/2}$  states and report that these data also follow an energy gap law.

Direct measurement of the rate of multi-phonon decay from the  $^4\text{F}_{3/2}\text{--}^4\text{I}_{15/2}$  state is difficult because the rate is less than a few hundred Hz. From extrapolation of the data from Layne et al. [71] and Bibeau et al. [75] to an energy gap of  $5500$   $\text{cm}^{-1}$  ( $^4\text{F}_{3/2}\text{--}^4\text{I}_{15/2}$ ) we get a rate of only about  $150$  Hz. Measurements by Caird et al. [87] on two commercial phosphate glasses (LG-750 and LG-760) are consistent with this observation; the measured multi-phonon relaxation rate is about  $220 \pm 120$  Hz. The data from the above-mentioned studies are shown in Fig. 5; a least-squares fit of Eq. (13) to the data gives  $A$  and  $\alpha$  of  $2.4 \times 10^{12}$  Hz and  $4.35 \times 10^{-3}$  cm, respectively. These numbers are in agreement with those originally reported by Layne et al. [71].

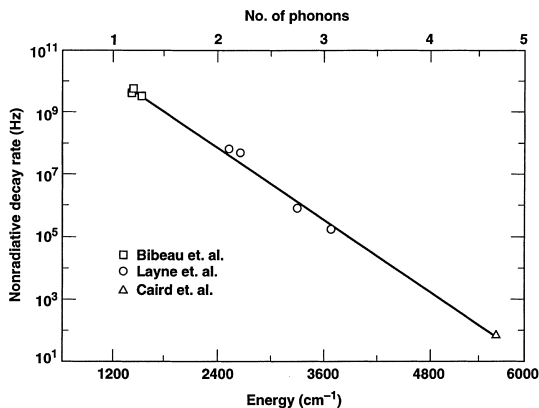


Fig. 5. Rate of  $\text{Nd}^{3+}$  multi-phonon relaxation in phosphate glasses vs. energy gap between  $J$ -states, based on data from Bibeau et al. [73], Layne et al. [71] and Caird et al. [87].

#### 4.2. Nd-concentration quenching ( $k_{\text{Nd}}$ )

The study of Nd self-quenching in laser glasses has received perhaps the most attention of all the non-radiative decay processes. One reason for such scrutiny is that most multi-kilo-joule lasers use glass disks or slabs with Nd-doping densities which maximize the pumping efficiency (pump light absorption) and the stored energy density [30]. Concentration quenching refers to the energy exchange between a pair of Nd ions and is the result of two relaxation mechanisms (Fig. 4) [63]. One is cross-relaxation in which two neighboring ions exchange energy and the second is migration of the excitation energy from one ion to the next (the so-called ‘hopping’ mechanism). In theory the rate of relaxation due to concentration quenching varies as  $r^{-6}$ , where  $r$  is the inter-ion distance; note that the  $r^{-6}$  dependence is physically equivalent to the square of the  $\text{Nd}^{3+}$  concentration. In reality the effect of the Nd concentration on relaxation rate is dependent on the glass composition and varies from near-linear in ultra-phosphates to quadratic in near-metaphosphates and from quadratic to cubic in silicates [20,41,45,47,86,87,92,93].

The empirical relationship commonly used to describe concentration quenching in metaphosphate laser glasses is [47]

$$k_{\text{Nd}} = k_0(N/Q)^2, \quad (14)$$

where  $k_0$  is the zero concentration decay rate,  $k_{\text{Nd}}$  the increased decay rate due to the presence of Nd (see Eq. (11)),  $N$  the  $\text{Nd}^{3+}$  ion concentration ( $\text{cm}^{-3}$ ) and  $Q$  ( $\text{cm}^{-3}$ ) is an empirically determined quantity for a given glass.  $Q$  is physically equivalent to the Nd concentration needed to reduce the lifetime to one-half its zero concentration limit (i.e., twice the zero-concentration decay rate). The parameter,  $Q$ , has been reported to vary linearly with the emission bandwidth for a number of phosphate laser glasses (Fig. 6) [47]. This trend is physically reasonable on the basis of the Förster/Dexter theory [76,77] for dipolar energy transfer because the rate of concentration quenching is related to the extent of spectral overlap between the two adjacent Nd ions. Therefore greater

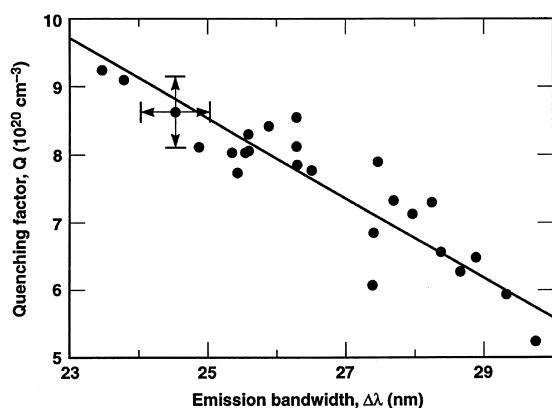


Fig. 6. Measured Nd concentration quenching factor ( $Q$ ) vs. the measured emission bandwidth for a series of multi-component metaphosphate laser glasses, based on data from [47].

concentration quenching rates are expected for glasses with greater emission bandwidths.

Fig. 7 shows the concentration quenching rate measured for samples of two commercially available meta-phosphate laser glasses commonly used in high-energy applications: LHG-8 and LG-770 [8]. Both have a linear dependence of decay rate with the square of the Nd-doping concentration as predicted by Eq. (14); measurement errors are within the size of the data symbols.

Caird et al. [87] have reported Nd concentration quenching effects in LG-750 and LG-760. They have measured the quantum efficiency over a concentration range from about  $0.2$  to  $13 \times 10^{20}$   $\text{Nd}^{3+}/\text{cm}^3$  and analyzed the non-radiative decay using the Förster [76] model for cross-relaxation, but modified for energy migration using Burshtein's [95] 'hopping' model. The results show that both mechanisms are needed to model the change in quantum efficiency with Nd concentration; however, cross-relaxation appears to be the dominant relaxation mechanism in these glasses.

Lunter et al. [81] report the affects of Group I modifier ions on the rate of concentration quenching in an ultraphosphate laser glass and show that the quenching increases from Cs to Li. They also show that the quenching rate increases linearly rather than quadratically with Nd-concentration in agreement with the work of Sto-

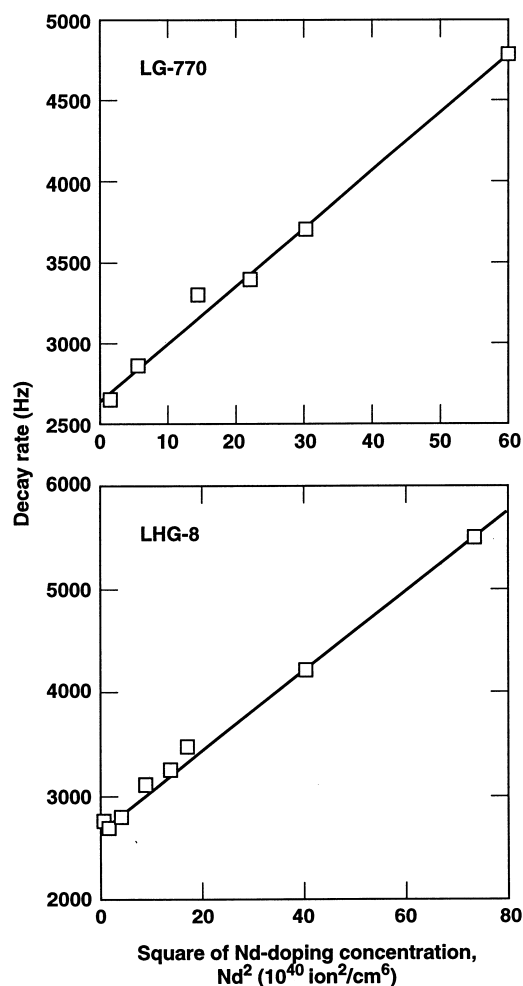


Fig. 7. Nd concentration quenching in LHG-8 and LG-770 metaphosphate laser glasses based on data reported in [8,94]; the measurement errors are within the symbol size.

kowski on ultraphosphates [20]. The relaxation rate can be represented by the expression

$$(k_{\text{meas}} - k_0) = A[\text{Nd}], \quad (15)$$

where  $k_{\text{meas}}$  is the measured transition rate,  $k_0$  the rate in the absence of concentration quenching and  $A$  is a constant for any given cation. Fig. 8 shows that the quantity  $A$ , determined from the slope of the decay rate data reported by Lunter et al. [81], increases with the cation field strength ( $Z/a^2$ ).

Jiang et al. [96] report measurements of  $\text{Nd}^{3+}$  concentration quenching in the two glasses

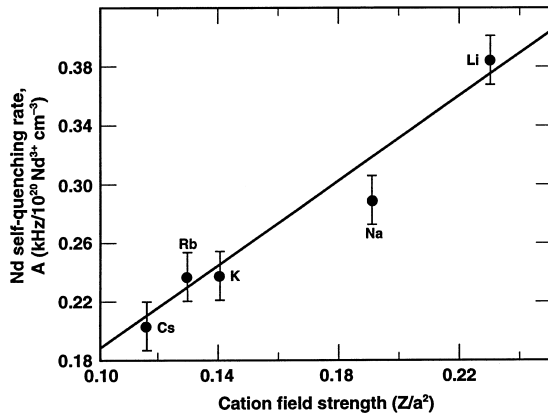


Fig. 8. Correlation of Nd self-quenching rate with modifier electric field strength; generated from data reported by Lunter et al. [81].

18K<sub>2</sub>O–10Al<sub>2</sub>O<sub>3</sub>–6Ln<sub>2</sub>O<sub>3</sub>–66P<sub>2</sub>O<sub>5</sub> and 26Li<sub>2</sub>O–10Ln<sub>2</sub>O<sub>3</sub>–64P<sub>2</sub>O<sub>5</sub>. The glass containing the Li modifier had a much greater quenching rate. This trend agrees qualitatively with the results from Lunter et al. [81], although the effect of the small amount of Al<sub>2</sub>O<sub>3</sub> used in one of the Jiang et al. glasses raises some uncertainty.

The effect of a systematic change in the group II modifiers on Nd concentration quenching is reported in the work by Byun et al. [97]. They use a Nd<sup>3+</sup>-doping range of 0.2–10 × 10<sup>20</sup> ions/cm<sup>3</sup> in two meta-phosphate glasses: 45RO–2.5Al<sub>2</sub>O<sub>3</sub>–52.5P<sub>2</sub>O<sub>5</sub> and 35RO–10Na<sub>2</sub>O–2.5Al<sub>2</sub>O<sub>3</sub>–52.5P<sub>2</sub>O<sub>5</sub> (R = Mg, Ca or Ba). The data show a trend Ba < Ca < Mg in increased Nd self-quenching. This trend agrees with the trend observed for the group I oxides (Fig. 8) in that the quenching rate increases with modifier field strength.

Ebendorff-Heidepriem et al. [45] report Nd concentration quenching measurements in the two meta-phosphate glasses Sr(PO<sub>3</sub>)<sub>2</sub> and 11K<sub>2</sub>O–28BaO–6Al<sub>2</sub>O<sub>3</sub>–55P<sub>2</sub>O<sub>5</sub> doped with between about 1 and 10 × 10<sup>20</sup> Nd<sup>3+</sup>/cm<sup>3</sup>. The decay rates vary linearly with the square of the Nd concentration as predicted via a dipolar relaxation mechanism (see Eq. (14)) similar to that reported above for other metaphosphates. In agreement with the work discussed above, Ebendorff-Heidepriem et al. [45] also conclude that using low field strength modifiers, such as K and Ba, reduces the concentration

quenching. They suggest that the large difference in field strength between K<sup>+</sup> (and Ba<sup>2+</sup>) and Nd<sup>3+</sup> produces a regular alteration in positions of the two cation polyhedra (Nd and K) along the meta-phosphate chains and thus maximizes the separation between Nd<sup>3+</sup> ions. They propose that such an alteration would not occur for modifiers having field strengths comparable to Nd<sup>3+</sup> (e.g., Y<sup>3+</sup>).

The data from the above studies [40,45,81,96] show that laser glass compositions with the smallest concentration quenching effects are those glasses that use groups I and II cations with the smallest electric field strengths. The data indicate that K and Ba should give the best results and indeed one or both of these two modifiers are used in commercial laser glass compositions (see Section 2).

#### 4.3. Auger up-conversion losses ( $k_{\text{Aug}}$ )

Until recently, Auger up-conversion loss had not been reported in Nd-doped laser glasses. Auger up-conversion occurs when two excited Nd ions, both in the metastable <sup>4</sup>F<sub>3/2</sub> state, interact such that one ion returns to a lower-lying <sup>4</sup>I<sub>J</sub> state while the other is excited to an energetically equivalent higher state (see Fig. 4). Payne et al. [98] have recently measured up-conversion losses in two phosphate laser glasses (APG-2 and LG-770), a silicate (LG-660) and the heavy metal fluoride glass, ZBLAN. They report that the product of the emission lifetime ( $\tau$ ) and the Nd up-conversion constant ( $\gamma_A$ ) (i.e.,  $\gamma_A\tau$ ) is nearly constant for these materials and between 1 and 2 × 10<sup>20</sup> cm<sup>3</sup>. The  $\gamma$  for LG-770 is 8.1 × 10<sup>–17</sup> (cm<sup>3</sup>/s) ± 30% [98].

The kinetic equation describing the decay from the <sup>4</sup>F<sub>3/2</sub> state depends on the Nd excited state concentration [98]. The contribution of the up-conversion process to increased Nd decay rate,  $k_{\text{Aug}}$ , can be approximated as

$$k_{\text{Aug}} \approx \gamma_A N^*, \quad (16)$$

where  $N^*$  is the excited state density.  $N^*$  is about 10<sup>18</sup> cm<sup>–3</sup> in glasses used in most high-energy laser applications (i.e., stored energy about 0.25 J/cm<sup>3</sup> [88]). Thus, the increased decay rate due to up-conversion loss (via Eq. (16)) is less than 100 Hz.

#### 4.4. Hydroxyl group effects on non-radiative decay rate ( $k_{OH}$ )

A number of researchers have reported either OH quenching rates or data from which it can be easily derived [20,32,94,96,99]. In several cases the measurements have been made as a function of Nd concentration in various phosphate glasses; Fig. 9 summarizes these data. The data are valid for –OH concentrations (as measured by the absorption at  $\sim 3000\text{ cm}^{-1}$ ) up to about  $10\text{ cm}^{-1}$ .

A simple empirical relation that can be used for estimating the magnitude of OH quenching in Nd-phosphate glasses at a given Nd concentration is [47]

$$k_{OH} = Q_{OH}(\alpha_{OH}), \quad (17)$$

where  $\alpha_{OH}$  is the absorption coefficient at  $3000\text{ cm}^{-1}$  due to OH and  $Q_{OH}$  is the quenching coefficient ( $\text{Hz}/\text{cm}^{-1}$ ) determined from Fig. 9. The OH quenching rate increases nearly linearly with Nd concentration at doping levels  $\geq 3 \times 10^{20}\text{ ions}/\text{cm}^3$ . However at doping levels  $< 1 \times 10^{20}\text{ ions}/\text{cm}^3$  the quenching rate approaches a constant of about  $60\text{ Hz}/\text{cm}^{-1}$ . The trend at low doping is unexpected. Based on a simple dipolar relaxation mechanism [22,63] for uniformly distributed donor (Nd) and acceptor (OH) centers one would expect the OH quenching rate to vary linearly with Nd concentrations at low dopings and to pass through the

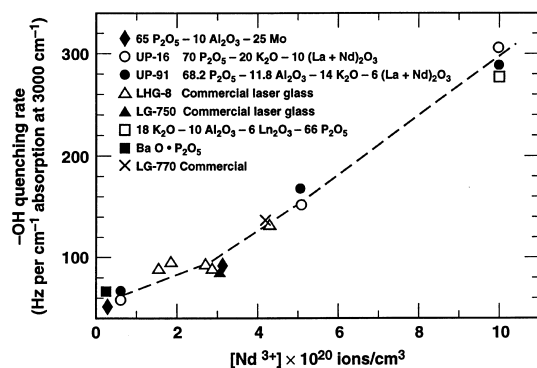


Fig. 9. Nd fluorescence quenching rate ( $Q_{OH}$ ) due to –OH contamination for phosphate glasses with various Nd concentrations. The dashed line is fit to the data using an empirical expression discussed in the text. Sources: [20,32,47,94,96,99].

origin. The data in Fig. 9 are fit to an empirical expression  $Q_{OH} = a + b[\text{Nd}]^{3/2}$ , where  $a$  is  $62.8\text{ Hz cm}$  and  $b$  is  $7.26 \times 10^{-30}\text{ Hz cm}/(\text{ions}/\text{cm}^3)^{3/2}$ . This expression has no theoretical significance and simply represents a best-fit to the data over the range shown.

To achieve optimum laser performance, the hydroxyl group absorption should be less than  $2.0\text{ cm}^{-1}$  at  $3000\text{ cm}^{-1}$  [7,43]. An absorption of  $2\text{ cm}^{-1}$  corresponds to about 200 ppmw of OH [7], however, absolute measurements of OH content in phosphate glasses are difficult, particularly at concentrations less than 1000 ppmw. Conversion factors for relating the absorption coefficient at  $\sim 3000\text{ cm}^{-1}$  to absolute OH concentrations vary from about 30 to nearly 400 ppmw/ $\text{cm}^{-1}$  with most factors between about 60 and 130 ppmw/ $\text{cm}^{-1}$  [100]. This range of data has prompted Ebendorff-Heidepreim and Ehrt [101] to suggest that only absorption measurements should be used as a measure of the relative –OH content in glasses.

#### 4.5. Fluorescence quenching by rare earth and transition metal ions

The absorption bands of some rare earth (RE) and transition metal (TM) ions overlap the Nd<sup>3+</sup> 1053 nm emission band. This spectral overlap leads to fluorescence quenching as described by the Förster/Dexter theory [76,77] for dipolar energy transfer. Some RE and TM ions absorb enough at 1053 nm that they affect the Nd fluorescence decay rate at concentrations less than 10 ppmw [20,89,90]. Stokowski and Krashkevich [89] examined the effects of transition metal ions (Cu, Fe, V, Cr, Co and Ni) doped at 300 ppmw on Nd quenching in an ultraphosphate glass at different Nd-doping levels. Ehrmann et al. [90] report quenching rates for Cu and Fe at one Nd-doping concentration but for a range of impurity levels from about 30 to 1000 ppmw. The Cu quenching rate (reported in Hz/ppm impurity) is constant at all impurity concentrations. In contrast, the Fe quenching rate decreases at doping levels  $< 300\text{ ppmw}$ ; Ehrmann et al. [90] attribute this decrease to a change in the  $\text{Fe}^{2+}/\text{Fe}^{3+}$  ratio.

## 5. Absorption and scattering losses

The net gain of a glass laser amplifier is a function not only of the gain coefficient but also the combined passive transmission losses in the glass due to impurity absorption and scattering [66,90]. The total static absorption loss,  $\alpha_{\text{total}}$ , in laser glass at 1053 nm is a sum of contributions

$$\alpha_{\text{total}} = \alpha_{\text{Nd}} + \sum_{i=1}^n \alpha_{\text{TM}_i} + \sum_{j=1}^m \alpha_{\text{RE}_j} + \alpha_{\text{OH}}, \quad (18)$$

where the four terms on the right side refer to absorption losses due to: (1)  $\text{Nd}^{3+}$  ions thermally populating the terminal laser level ( $^4\text{I}_{11/2}$ ), (2) transition metal ion impurities, (3) rare earth ion impurities and (4) residual hydroxyl groups, respectively. These impurities may originate from the glass raw materials, the melter refractory and/or impurities from an outside contamination source. Commercially supplied laser glasses typically have an un-pumped absorption loss of less than  $0.0015 \text{ cm}^{-1}$  at 1053 nm [31]. For ICF laser systems, the typical gain coefficient through a flashlamp pumped laser slab doped with  $2\text{--}4 \times 10^{20} \text{ Nd}^{3+}/\text{cm}^3$  is about  $0.05 \text{ cm}^{-1}$  [88]. Therefore, the ratio of gain-to-loss coefficients is greater than about 30:1. To achieve these gain-to-loss levels requires the use of raw materials as well as melter wall materials with the impurity concentrations typically less than 10–100 ppmw, depending on the TM or RE ion [7,90,102].

The  $\text{Nd}^{3+}$  absorption cross-section for the  $^4\text{I}_{11/2}$  to  $^4\text{F}_{3/2}$  transition at room temperature in a meta-phosphate glass is reported as [94]

$$\alpha_{\text{Nd}}(T) = 1.03 \times 10^{-20} \exp(-2576/T) [\text{Nd}^{3+}], \quad (19)$$

where  $\alpha_{\text{Nd}}(T)$  is the temperature-dependent absorption coefficient ( $\text{cm}^{-1}$ ) and  $[\text{Nd}^{3+}]$  is the Nd ion concentration (ions/ $\text{cm}^3$ ). Eq. (19) should provide reasonable estimates ( $\pm 15\%$ ) for static  $\text{Nd}^{3+}$  absorption losses in other meta-phosphate glasses in the absence of direct measurements.

Ehrmann et al. [90] have compiled extinction coefficients at 1053 nm reported for phosphate laser glasses doped with various transition metal and rare earth ion impurities [89,90,102–104] and

melted under oxidizing conditions (1 atm  $\text{O}_2$ ). Currently most phosphate laser glasses are processed under oxidizing conditions so iron contamination tends to occur mainly as  $\text{Fe}^{3+}$  in meta-phosphate laser glasses [90,104,105].  $\text{Fe}^{3+}$  absorbs in the visible and UV [106–109] and has insignificant absorption near the 1053 nm laser transition. Nevertheless, some equilibrium amount of  $\text{Fe}^{2+}$  is usually present which has an absorption band near 1000 nm [105,110–112]. The  $\text{Fe}^{2+}/\text{Fe}^{3+}$  distribution in LHG-8 and LG-770 melted under oxidizing conditions (1 atm  $\text{O}_2$ ) is reported to be concentration dependent at impurity concentrations  $< 300$  ppmw Fe [90].

Scatter losses are due to inhomogeneities within the glass plus residual surface roughness after polishing. Scatter loss measurements have been reported [113] on polished pieces of LHG-8 and LG-750 meta-phosphate laser glasses (typical of the polished surfaces of glasses installed in an amplifier) using an integrating sphere scatterometer. The scatterometer is capable of measuring fractional losses to  $10^{-5}$  of the incident intensity. Combined surface and interior scatter losses of less than  $10^{-4}$  are reported [113].

## 6. Optical properties

### 6.1. Non-linear refractive index

A propagating laser beam can develop phase aberrations induced by changes in the glass refractive index at operating intensities greater than about  $1 \text{ GW}/\text{cm}^2$ . This effect degrades the beam focal spot, reduces the energy extraction efficiency of the laser and increases the risk of laser-induced damage [114–116].

The change in refractive index with laser intensity is given by [117,118]

$$n = n_0 + \gamma I, \quad (20)$$

where  $\gamma$  is the non-linear refractive index coefficient ( $\text{m}^2/\text{W}$ ) and  $I$  is the laser intensity ( $\text{W}/\text{m}^2$ ). Most commercial phosphate laser glasses have a non-linear index coefficient  $\lesssim 3.5 \times 10^{-20} \text{ m}^2/\text{W}$ . The intensity-dependent index causes amplitude ripples (noise), that occur at certain spatial



$$dn/dT = [(n^2 - 1)(n^2 + 2)/6n](\phi - \beta), \quad (25)$$

where  $\phi$  is the temperature-dependent coefficient of electronic polarizability ( $K^{-1}$ ) and  $\beta$  is the volumetric thermal expansion coefficient ( $K^{-1}$ ). For an isotropic material, such as glass,  $\beta = 3\alpha_e$ , where  $\alpha_e$  is the linear coefficient of thermal expansion. An ideal athermal glass has  $\delta = 0$  implying, from Eq. (24) that  $dn/dT = (n - 1)\alpha_e$ , where  $n$  is usually  $\sim 1.5$ . Therefore, as a rule-of-thumb, a good athermal laser glass must have a  $dn/dT$  about half the coefficient of linear thermal expansion.

Toratani [32] and Izumitani and Toratani [122] report a method for calculating  $dn/dT$  for phosphate and silicate laser glasses, respectively, based on an additivity relationship for estimating the temperature coefficient of the electronic polarizability,  $\phi$ :

$$\phi = \sum \phi_i X_i, \quad (26)$$

where  $\phi_i$  is the additive contribution from glass component  $i$  and  $X_i$  is the component mole fraction. Table 2 lists  $\phi_i$ s for various common oxides in silicate [122] and phosphate [32] laser glasses. These data have been used to estimate  $dn/dT$  for a number of laser glasses [94] and in general, are in good agreement with measured data (see Table 2).

## 7. Thermal–mechanical properties

The figure-of-merit parameter commonly used to characterize the thermal–mechanical performance of laser glass is the well-known thermal shock resistance,  $R_s$  [93]:

$$R_s = k(1 - \mu)K_{IC}/(E\alpha_e), \quad (27)$$

where  $E$  is Young's modulus,  $k$  the thermal conductivity,  $K_{IC}$  the fracture toughness,  $\mu$  Poisson's ratio and  $\alpha_e$  is the coefficient of linear thermal expansion.  $R_s$  has units of  $W/m^{1/2}$  and the larger  $R_s$  the greater the thermal loading without failure. Laser glasses can be subjected to thermal loads during handling and processing [123] as well as during operation [7,124].

There have been relatively few reported systematic studies of composition effects on thermal–mechanical properties of phosphate laser glasses. Hayden et al. [39] report the affects of varying alkali and alkaline earth modifiers in a meta-phosphate laser glass in which the  $Al_2O_3$  and  $P_2O_5$  contents are held constant. In an extension of this work, Elder et al. [123] systematically varied the network former; particularly the  $Al_2O_3/P_2O_5$  ratio and the O/P ratio and also examined the effects of replacing  $P_2O_5$  and  $Al_2O_3$  with either  $SiO_2$  or  $B_2O_3$ . In a study of glasses for repetition-rate lasers, Marion [124] analyzed data from Stokowski [20] in terms of a figure-of-merit similar to that

Table 2

Additivity factors,  $\phi_i$  ( $10^{-6}/K$ ), for estimating the temperature coefficient of electronic polarizability from the glass composition [32,122] and comparison of predicted vs. measured  $dn/dT$  reported for several phosphate laser glasses using these values [94]

Component	$\phi_i$ ( $10^{-6}/K$ )		$dn/dT$ ( $10^{-6}/K$ )		
	Silicates	Phosphates	Glass	Predicted	Measured
$SiO_2$	17.4	20 (est.)	LHG-8	−4.8	−5.3
$PO_{0.5}$	—	20	LHG-80	−3.6	−3.8
$BO_{1.5}$	13.8	16	LG-750	−6.0	−6.8
$AlO_{1.5}$	22.2	26	LG-760	−4.9	−5.1
$LiO_{0.5}$	—	36 (est.)	HAP-3	1.0	1.9
$NaO_{0.5}$	50.0	44	APG-1	1.3	1.2
$KO_{0.5}$	43.9	45			
$MgO$	—	45 (est.)			
$CaO$	42.0	42 (est.)			
$BaO$	36.1	35			
$PbO$	50.2	47			
$TiO_2$	19.3	15			
$ZnO$	39.4	—			



given in Eq. (27). Jiang et al. [96] investigated the effects of groups I and II modifiers (K, Na, Li, Ba, Sr and Ca) on the thermal expansion coefficients of different phosphate laser glasses and report a correlation with cation electric field strength. Their data also show that glasses containing larger field strength cations (e.g., Li) have smaller thermal expansion coefficients. The above studies [39,96,123] all show that using modifiers with greater field strengths (particularly Li) increases Young's modulus and thermal conductivity and decreases the thermal expansion (e.g., see Fig. 11).

Improvements in thermal-mechanical properties tend to follow composition trends opposite to that for improved laser properties (see Sections 3 and 4). In general, optimizing the laser properties

takes precedence over improving the mechanical properties so low field strength cations (e.g., K) are usually the dominant modifiers in a glass. The one exception is laser glass used in repetitively pulsed lasers (so-called HAP lasers). Here the need for good thermal shock resistance is critical therefore these glasses tend to contain larger field strength ions such as Li [32,33].

## 8. Fracture toughness and sub-critical crack growth

The size of the laser glass plates used in multi-kilojoule energy applications increases the threat of fracture due to increased thermal or mechanical-induced stress during handling and processing [7]. In addition, it is our experience that surface flaws ( $\leq 1$  mm) may develop during operation due to laser or flashlamp-induced damage.

The fracture strength of phosphate laser glasses is less than that for silicate counterparts as indicated by fracture toughness measurements given in Table 3. In general, the fracture toughness for phosphate laser glasses is about one-half to two-thirds those for silicates.

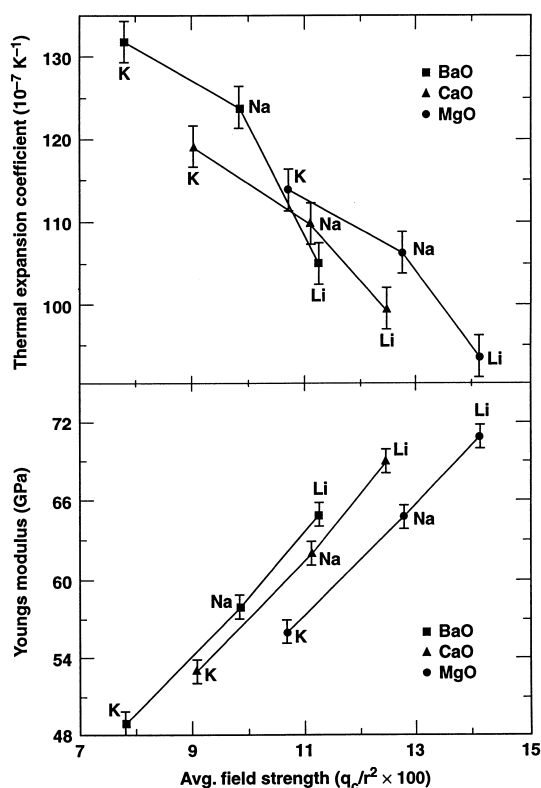


Fig. 11. Variation of linear thermal expansion coefficient and Young's modulus with the compositionally averaged field strength for phosphate laser glasses containing combinations of groups I and II modifiers (Li, Na, K, Mg, Ca and Ba). Plot generated from data by Hayden et al. [39]; the lines connect the data points and are added to distinguished data sets.

Table 3

Fracture toughness values reported for common commercial phosphate and silicate laser glasses [94,125]

Glass (by vendor code name)	Vendor	Fracture toughness <sup>b</sup> (MPa m <sup>1/2</sup> )
<i>Phosphates</i>		
LHG-8	Hoya	0.51 ± 0.02 <sup>a</sup>
LG-770	Schott	0.43 <sup>a</sup>
LG-750	Schott	0.45 <sup>c</sup>
APG-1	Schott	0.61 <sup>a</sup>
APG-2	Schott	0.64 ± 0.03 <sup>a</sup>
IOG-1	Schott	0.54 <sup>a</sup>
LHG-80	Hoya	0.46 <sup>a</sup>
LHG-5	Hoya	0.42 <sup>c</sup>
<i>Silicates</i>		
LG-680	Schott	0.86 <sup>a</sup>
IOG-10	Schott	0.71 <sup>a</sup>
LG-670 <sup>d</sup>	Schott	0.83 <sup>c</sup>
LSG91H	Hoya	0.88 <sup>c</sup>

<sup>a</sup> Measured by the authors using chevron notch method [126].

<sup>b</sup> Error is  $\pm 0.01$  unless otherwise noted.

<sup>c</sup> Data from [125]; measured by indentation technique.

<sup>d</sup> Formerly ED-2 by Owens-Illinois.

Elder et al. [47] reported that fracture toughness varies with O/P ratio for a series of phosphate glasses containing Al, K and Ba. Their results show that the ultra-phosphates (O/P < 3) have greater fracture toughness.

Slow crack growth measurements have been reported recently by Crichton et al. [127] and Suratwala et al. [128] on the commercial phosphate glasses, LG-770 and LHG-8, respectively. Both studies have been carried out as a function of temperature (23–300°C) and water vapor pressure (0.3–355 mm Hg). In addition, Suratwala et al. [128] examined the effect of residual hydroxyl content in the laser glass. The results are analyzed using Wiederhorn's reaction rate model [129] for slow crack growth in region I (reaction kinetic limited region) and region II (mass transport limited region). Model parameters are reported for these two glasses that can be used to predict slow crack growth at different stress levels [127,128]. Both studies report regions of crack tip blunting where the crack growth rates depart from predictions made using the reaction rate model.

## 9. Laser-induced damage

The mega-joule lasers now under construction for inertial confinement fusion (ICF) research will produce peak fluences in the laser glass approaching 18–20 J/cm<sup>2</sup> with a peak irradiance of about 5.0 GW/cm<sup>2</sup> [130,131]. To avoid laser-induced optical damage the laser glass must be free of defects, specifically microscopic inclusions (either metallic or ceramic) left from the melting process. The most common inclusion source is metallic Pt inclusions from the Pt liners used in the melting system [7,132]. Prior to about 1990, Pt inclusion damage represented the major source of damage in laser glass used for high-peak-power applications [132,133]. However new processing methods effectively reduce the Pt inclusion concentration in phosphate laser glasses by more than 1000-fold to less than 0.1/litre [134–136]. For example, 50% of the large laser slabs (79 × 45 × 4 cm<sup>3</sup>; 14 litre of glass each) used on the Beamlet laser at LLNL [130] are reported to

have had no inclusions at all and the average for all the slabs produced is <0.1/litre [31]. Similar results have been reported for the several hundred replacement glass disks manufactured for the Nova and Phebus lasers [132,137]; each of those disks contained 7 litre of glass.

Inclusions in the laser glass damage at about between 2 and 5 J/cm<sup>2</sup> at the 1–10 pulse lengths typical of most HPP applications [132,138]. Although very small to begin with, inclusion damage can grow with successive laser shots to several millimeters or even centimeters in size eventually making the laser glass unusable [138]. Also large damage spots (>300 µm) in the laser glass can seed damage in other optics in the laser chain [114].

The new processing techniques used for eliminating Pt inclusions in phosphate glasses [132,134,135] rely on the intrinsic property of many phosphate glasses to dissolve Pt metal under oxidizing conditions. The effects of glass composition on platinum solubility have been reported to follow the trend: phosphate > silico-phosphate ≫ fluorophosphate > silicate [139]. In a similar study Hayden et al. [140] have examined the effects of the Al<sub>2</sub>O<sub>3</sub> concentration in phosphate glasses on Pt solubility. They chose three commercial phosphate laser glasses (LG-770, LG-760 and APG-1) each having different Al<sub>2</sub>O<sub>3</sub> content. These researchers report that the larger the Al<sub>2</sub>O<sub>3</sub> content the smaller the Pt solubility. The effects of Al<sub>2</sub>O<sub>3</sub> content on Pt solubility tend to parallel those reported by Izumitani et al. for SiO<sub>2</sub> content in phosphate glasses [139].

If inclusions inside the glass are eliminated then the damage threshold is limited only by the surface finish. The pulse length dependence of the surface damage threshold for polished glass samples (Fig. 12) can be represented by the empirical expression [131]

$$D_s \text{ (J/cm}^2\text{)} = 22t_p^{0.4}, \quad (28)$$

where  $t_p$  is the laser pulse length (ns). The surface damage threshold approximately follows the  $t^{1/2}$  relationship predicted by a thermal diffusion heat transport model [66,132]. For comparison, the damage threshold reported by Gonzales and

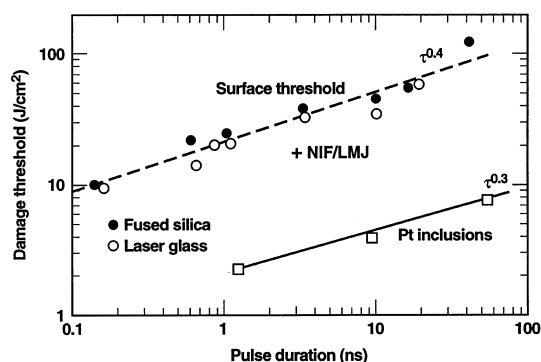


Fig. 12. Measured damage threshold at 1053 nm for Pt inclusions in laser glass as a function of laser pulse length; data are from [138]. Also shown, for comparison, are the measured damage thresholds at 1053 nm for highly polished laser glass and fused silica surfaces [131] and the design peak operating fluence of the NIF and LMJ mega-joule lasers currently under construction [1,130].

Milam [138] for Pt inclusions (Fig. 12) follows the approximate pulse length scaling relationship

$$D_{\text{Pt}} (\text{J/cm}^2) = 2P^{0.3}. \quad (29)$$

Thus the presence of Pt inclusions reduces the operating limit of a laser glass by about 10-fold.

Jiang et al. [141] have investigated the redox equilibrium  $[\text{Pt}^{4+} \rightleftharpoons \text{Pt}^{2+} \rightleftharpoons \text{Pt}^0]$  in a  $\text{R}_2\text{O}-\text{BaO}-\text{P}_2\text{O}_5$  glass as a function of oxidizing environment and temperature. They assign absorption bands near 290, 360 nm and 320, 390 nm to  $\text{Pt}^{2+}$  and  $\text{Pt}^{4+}$ , respectively. By monitoring the relative peak amplitudes for these bands they report the ratio of  $\text{Pt}^{4+}/\text{Pt}^{2+}$  as a function of increasing oxygen partial pressure;  $[\text{Pt}^{4+}/\text{Pt}^{2+}]\beta = P_{\text{O}_2}$  where  $\beta$  is about 0.75. They relate this same ratio to the observed Pt-inclusion density.

The tail of the ionic Pt absorption bands in the near UV extends into the visible, giving detectable absorption up to about 400 nm. This absorption can affect the energy storage of the laser glass because the absorption blocks some of the flashlamp radiation and reduces the pumping efficiency. The 400 nm absorption coefficient due to ionic Pt is generally specified not to exceed  $0.25 \text{ cm}^{-1}$  [31]; this coefficient corresponds to a Pt ion

concentration of less than about 150 ppm [132]. For rods or slabs doped with  $\text{Nd}^{3+} > 1 \times 10^{20} \text{ cm}^{-3}$  the effect of the ionic Pt absorption is negligible as long as the absorption at 400 nm does not exceed about  $0.25 \text{ cm}^{-1}$ . Kelly et al. [142], however, have analyzed the effect of ionic Pt absorption on the flashlamp pumping of rods with smaller Nd-dopings. They estimate that an ionic Pt absorption at or near  $0.25 \text{ cm}^{-1}$  can reduce the pumping efficiency by up to 15% for 9.9 cm diameter laser glass rods doped with about  $5 \times 10^{19} \text{ Nd ions/cm}^3$ .

## 10. Summary and directions for future work

We conclude this review with a summary (Table 4) of the properties and compositions of the Nd-doped phosphate laser glasses in use on major multi-kilojoule lasers in the US, Europe and Japan [8,94]. Glasses having optimal laser performance and acceptable thermal-physical properties are meta-phosphates having the approximate base composition:  $60\text{P}_2\text{O}_5-10\text{Al}_2\text{O}_3-30\text{K}_2\text{O/MO}$ , where MO is either BaO or MgO.  $\text{Nd}_2\text{O}_3$  is generally added at doping levels of  $<2 \text{ mol}\%$  (i.e.,  $\lesssim 5 \times 10^{20} \text{ Nd}^{3+}/\text{cm}^3$ ). Small variations in composition and modifiers about this base glass composition have only minor effects ( $\pm 10\%$ ) on most glass properties (see Table 4).

Non-radiative energy losses from the  $^4\text{F}_{3/2}$  upper laser level are reasonably well understood. The one exception is OH quenching. Although an empirical relationship can be used to accurately estimate ( $\pm 10\%$ ) the quenching effect, a theoretical formulation describing the unusual OH quenching affects at smaller Nd-doping is lacking and is worthy of further study. In addition, the mechanism and kinetics of dehydroxylation of phosphate glasses have received little attention despite the adverse effects of ppm levels of OH on many glass properties.

The development of processing technology for making inclusion-free phosphate glass has enabled lasers to be built and operated at fluences 5–10 times greater than was possible 10 years ago. However a detailed understanding of the mechanism of Pt dissolution in glasses bubbled with  $\text{O}_2$

Table 4

Properties and compositions (when reported) of Nd-doped phosphate laser glasses in common use on high-energy/high-peak-power lasers [8]

Sources		Glass manufacturer				
		Hoya		Schott		Kigre
		[23,143]	[7,23,143]	[7]	[23,144]	[23,145]
Glass designation <sup>a</sup>	Symbol	LHG-80	LHG-8	LG-770	LG-750	Q88
<i>Optical</i>						
Refractive index						
@ 587.3 nm ( $\pm 0.00002$ ) <sup>b</sup>	$n_d$	1.5429	1.5296	1.5067	1.5257	1.5449
@ 1053 nm ( $\pm 0.00015$ ) <sup>b</sup>	$n_l$	1.5329	1.5201	1.4991	1.5160	1.5363
Non-linear refractive index						
( $10^{-13}$ esu)	$n_2$	1.24	1.12	1.01	1.08	1.14
( $10^{-20}$ m <sup>2</sup> /W)	$\gamma$	3.36	3.08	2.78	2.98	3.11
Abbe number ( $\pm 0.05$ )	$\nu$	64.7	66.5	68.4	68.2	64.8
Temp-coeff. refract. index ( $10^{-6}$ /K) ( $\pm 0.5$ )	$dn/dT$	-3.8	-5.3	-4.7	-5.1	-0.5
Temp-coeff. optical path ( $10^{-6}$ /K) ( $\pm 0.2$ )	$\delta$	1.8	0.6	1.2	0.8	2.7
<i>Laser</i>						
Emission cross-section ( $10^{-20}$ cm <sup>2</sup> ) ( $\pm 0.2$ )	$\sigma_{em}$	4.2	3.6	3.9	3.7	4.0
Saturation fluence (J/cm <sup>2</sup> ) (calc.)	$F_{sat}$	4.5	5.2	4.8	5.1	4.7
Radiative lifetime (zero-Nd) ( $\mu$ s) ( $\pm 3$ )	$\tau_o$	337	365	372	383	326
Judd–Ofelt radiative lifetime ( $\mu$ s) ( $\pm 10\%$ )	$\tau_r$	327	351	349	367	326
Judd–Ofelt parameters ( $10^{-20}$ cm <sup>2</sup> )	$\Omega_2$	3.6	4.4	4.3	4.6	3.3
( $\pm 5\%$ )	$\Omega_4$	5.0	5.1	5.0	4.8	5.1
	$\Omega_6$	5.5	5.6	5.6	5.6	5.6
Emission band width (nm) ( $\pm 0.1$ )	$\Delta\lambda_{eff}$	23.9	26.5	25.4	25.3	21.9
Conc. quenching factor <sup>c</sup> ( $\times 10^{20}$ cm <sup>-3</sup> )	$Q$	10.1	8.4	8.8	7.4	6.6
( $\pm 0.3$ )						
Fluorescence peak (nm) ( $\pm 0.3$ )	$\lambda_L$	1054	1053	1053	1053.5	1054
<i>Thermal</i>						
Thermal conduct. (W/m K) ( $\pm 0.03$ )	$k$	0.59	0.58	0.57	0.60	0.84
Thermal diffusivity ( $10^{-7}$ m <sup>2</sup> /s)	$\alpha$	3.2	2.7	2.9	2.9	–
Specific heat (J/g K) ( $\pm 0.02$ )	$C_p$	0.63	0.75	0.77	0.72	0.81
Coeff. thermal expan. <sup>d</sup> ( $10^{-7}$ /K) ( $\pm 3$ )	$\alpha_c$	130	127	134	132	104
Glass transition temp (°C) ( $\pm 5$ )	$T_g$	402	485	461	450	367
<i>Mechanical</i>						
Density (g/cm <sup>3</sup> ) ( $\pm 0.01$ )	$\rho$	2.92	2.83	2.59	2.83	2.71
Poisson's ratio ( $\pm 0.01$ )	$\mu$	0.27	0.26	0.25	0.26	0.24
Fracture toughness (Mpa m <sup>0.5</sup> ) ( $\pm 0.02$ )	$K_{IC}$	0.46	0.51	0.43	0.45	–
Hardness (GPa) ( $\pm 0.10$ )	$H$	3.35	3.43	3.58	2.85	–
Young's modulus (GPa) ( $\pm 1.0$ )	$E$	50	50	47	50	70

<sup>a</sup> LHG-8: (56–60)P<sub>2</sub>O<sub>5</sub>–(8–12)Al<sub>2</sub>O<sub>3</sub>–(13–17)K<sub>2</sub>O–(10–15)BaO–(0–2)Nd<sub>2</sub>O<sub>3</sub>; LG-770: (58–62)P<sub>2</sub>O<sub>5</sub>–(6–10)Al<sub>2</sub>O<sub>3</sub>–(20–25)K<sub>2</sub>O–(5–10)MgO–(0–2)Nd<sub>2</sub>O<sub>3</sub>; LG-750: (55–60)P<sub>2</sub>O<sub>5</sub>–(8–12)Al<sub>2</sub>O<sub>3</sub>–(13–17)K<sub>2</sub>O–(10–15)BaO–(0–2)Nd<sub>2</sub>O<sub>3</sub>.

<sup>b</sup> Values vary slightly with Nd-doping levels.

<sup>c</sup>  $Q$  defined by Eq. (14).

<sup>d</sup> 20–300°C.

or Cl<sub>2</sub> is lacking. In addition, the effects of glass composition and structure on the dissolution process are poorly understood. For example, the

reasons why SiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> additions to metaphosphate glasses retard Pt inclusion dissolution is not well understood.

Finally, glass compositions have been developed with good laser performance properties but a corresponding quantitative understanding (i.e., able to be reduced to calculation) of the relationship between glass structure and performance is lacking and remains a continuing challenge.

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